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PATENT

Docket No. 150.01140101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sinha et al. )  
Serial No.: 10/028,040 )  
Confirmation No.: 2997 )  
Filed: December 21, 2001 )  
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING  
SURFACES USING COMPLEXING AGENTS )

Group Art Unit: Unknown  
Examiner: Unknown

SUBMISSION OF DRAWINGS UNDER 37 C.F.R. §1.85

Assistant Commissioner for Patents  
ATTN: Box Issue Fee  
Washington D.C. 20231

Sir/Madam:

Attached please find 2 sheet(s) of Drawings for the above-identified patent application. Please contact the undersigned attorney if you have any questions.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, ATTN: Box Issue Fee, Washington, D.C. 20231, on this 15 day of APRIL, 2002.

*Ann M. Mueting*  
Ann M. Mueting

Respectfully submitted for

**Sinha et al.**

By  
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**26813**

PATENT TRADE MARK OFFICE

April 15, 2002  
Date

By: *Ann M. Mueting*  
Ann M. Mueting  
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Serial No.: 10/028,040 )  
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For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING  
SURFACES USING COMPLEXING AGENTS

Group Art Unit: 2812  
Examiner: Unknown

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application," mailed March 21, 2002, enclosed is an executed Declaration by the named inventors. Enclosed is our check in the amount of \$130 for the missing parts surcharge. Applicants submitted substitute drawings to the United States Patent & Trademark Office on April 15, 2002. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231.

*Gara L. Ladwig*  
GARA L. LADWIG  
"Express Mail" mailing label number:  
EL888272997US  
Date of Deposit: 21 May 2002

May 21, 2002  
Date

Respectfully submitted for

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,040	12/21/2001	Nishant Sinha	150.01140101

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CONFIRMATION NO. 2997  
FORMALITIES LETTER



\*OC000000007690489\*

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Karla Quintas*

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05/24/2002 MBERHE

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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